

# La presentazione della domanda progettuale: informazioni e consigli

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*15 febbraio 2022* 



**15 FEBBRAIO 2022** online dalle ore 11.30



APRE Agenzia per la Promozione della Ricerca Europea primaitaly.it



## DOCUMENTI UTILI https://prima-med.org/documents-reports/

WHO WE ARE - WHAT WE DO - RESEARCH & INNOVATION - JOIN OUR COMMUNITY - MAGAZINE & MEDIA -

## Celebrating the Mediterranean

Strategic Research and Innovation Agenda (SRIA)

Strategic Research and Innovation Agenda

## **Annual Work Plans**

Annual Work Plan 2022

## **PRIMA Model Grant Agreement (MGA)**

Multi-beneficiary Model Grant Agreement

Annotated Model Grant Agreement

## **Evaluation process description**

## **Guidance (Section 1)**

Electronic-submission-system-Handbook.pdf Call Text – PRIMA Section 1 2022 Nexus Call Text – PRIMA Section 1 2022 Agri-Food value chain Call Text – PRIMA Section 1 2022 Water Management Call Text – PRIMA Section 1 2022 Farming Systems Guidelines for Applicants Section 1 RIA & IA Guidelines for application Section 1 CSA 2022

## **Guidance (Section 2)**

Electronic-submission-system-Handbook.pdf

PRE-

## DOCUMENTI UTILI https://prima-med.org/documents-reports/

### Annotated Model Grant Agreement

### **Evaluation process description**

Evaluation process description

### **Guidance (Section 2)**

Electronic-submission-system-Handbook.pdf Guidelines for Applicants 2022 Section 2 National Regulations 2022 Call Text PRIMA Section 2 2022 Multi-Topic

### **Templates & forms**

PRIMA-Pre-proposal-Template-PART-I-RIA-and-IA PRIMA-Pre-proposal-Template-PART-II-RIA-and-IA

PRIMA Full Proposal Template PART I CSA 2022 PRIMA Full Proposal Template PART II CSA 2022 Detailed budget template CSA

PRIMA WEFE NEXUS Award RoC

PRIMA WEFE NEXUS Award – template – I

PRIMA WEFE NEXUS Award- template - II

### **PRIMA Appeals procedure**



PKIMA KIA & IA Pre-Proposal Template – PAKT 1 Version 1.0 – 5 February 2020



### Important information on how to fill in and submit this template

This template is to be used at the 1st stage of a two-stage submission procedure. Please fill it in, convert it to PDF format and upload it to the Electronic Submission System.

Administrative and financial data also need to be inserted manually in the Electronic Submission System, as described in the Guidelines for Applicants and the Electronic Submission System Handbook.

Remember that you shall also upload PART II - Scientific document as a separate document in PDF format.

The structure of this template must be followed when preparing your proposal.

Please delete the information above before submitting your proposal

### **PRIMA Pre-proposal Template** (1st stage of a two-stage submission procedure)

**Research and Innovation Actions (RIA) Innovation Actions (IA)** 

### Administrative Form (Part I)

### **PRIMA Pre-proposal Template**

**Research and Innovation Actions (RIA)** Innovation Actions (IA)

### Scientific Document (Part II)

**Title of Proposal** 

Acronym

· The consortium members are listed in Part I of the proposal (administrative forms). A summary list should also be provided in the table below

### List of participants

Participant No *	PI name	Organisation	Country
1 (Coordinator)			
2 Partner 1			
3 Partner 2			
4 Partner 3			

· One PI per team/lab or institution. Add as many lines as you would need

(1st stage of a two-stage submission procedure)

1. Excellence

PRIMA Call-2022

**Guidelines for Applicants** Section 1-RIA&IA

Stage 1 Pre-proposals Deadline for Submission: 15/03/2022, 17:00 Barcelona Time **Documents for Stage-1:** ✓ Administrative and financial data, the full cost and requested amount per partner (to be filled directly on the ESS) ✓ PART I: Administrative data (template to be uploaded as pdf on the ESS) ✓ Scientific document- pre proposal (Part II, to be uploaded as pdf on the ESS)

Stage-2 Full proposals Deadline for Submission: 06/09/2022 17:00 Barcelona Time Documents for stage 2: ✓ Administrative and financial data, the full cost and requested amount per partner (to be checked directly on the ESS)

✓ PART I: Administrative data (template to be uploaded as pdf on the ESS, as an annex)

✓ PART II: Detailed Scientific document – full proposal (template to be uploaded as pdf on the ESS) ✓ Detailed budget (template to be uploaded as an Excel

file in the ESS)

One month hafore the deadline for submission the Flectronic Submission System link



PRIMA Call-2022

Section 1-RIA&IA



**Guidelines for Applicants** 

### **Guidelines for Applicants**

PRIMA Call-2022-Section 1 **RIA & IA** (Two Stage Submission)

### **PRIMA - Partnership for Research and** Innovation in the Mediterranean Area

Version 1.0 17 January 2022



SUBMISSION INFORMATION

7

## PARTE A – Form amministrativa





## PARTE B - Proposta scientifica

PRIMA

### Important information on how to submit your pre-proposal

The submission of a pre-proposal to PRIMA Calls is carried out as follows

PRIMA RIA & IA CONTRACTOR PART II

- Insert all the required data (administrative, francial, etc.) in the Electronic Schmission System as described in the relevant Gaidelines for Applicants and the Electronic Schmission System Handbook.
   Full in the Administrative Form (PART I) and convert it to PDF format before uploading it to the Electronic Schmission System as ANNEX.
- Schemission System as a ANNEX. 3. Full in the Signific Descenter (PART II) and convert it to PDF format before upfording it to the Electronic Submission System. The structure of the pro-proposal must correspond to the requirements specified under each section of this template.

This template (Scientific Document - PART III) is to be used at the 1<sup>-4</sup> stage of a two-stage advanced on procedure. The structure of this template rank is followed when preparing yang request. It has been designed to rease that the important aspects of yang planed work are presented in a way that will caulie the expects to make an effective assessment against the evolution orients. Section - and 2-cash correspond to an evolutione oriention.

Please be source that proposals will be evaluated as they were advantised, suffer than on their potential if centain damages were to be made. Thus areas that outly proposals that suscending largeling and provide will have a scharze of being fanded. There will be no possibility for significant changes to content, badget and consortiant composition sharing grant enterestion.

Page limit: The title page, list of participants and all the sections (3 and 2) together should not be longer than 10 pages. All table, figures, references and any other element partianing to these sections must be included as an integral part of these sections and are time contrast against this page init.

The page limit will be applied automatically, therefore, you must remove this instruction page before submitting. If you attempt to upload a proposal longer than the specified limit, it will be automatically rejected by the Electronic

a year many to specifically designed to expond the proposal, thus circumventing the page limit.

Please, do not consider the page limit as a target! It is in your interest to keep your text as concise as possible, since experts nurrhy view unnecessarily long proposals in a possible light.

#### The following formatting conditions apply

- The reference first for the body test of PRDMA proposals is Times New Roman (Windows platforms),
   Times Times New Roman (Apple platforms) or Kimban Roman Nes 9 L (Linux distributions).
   The use of adfiberation for the body test is not advised and is subject to the contradive conditions that the fort is
- legible and that its use does not significantly shorters the representation of the proposal in number of pages compared to using the reference fort (for example with a view to bypass the page limit). The minimum fort size allowed is 11 points. Standard character specing and a minimum of single line specing is to
- The minimum tool size assived is 11 points. Standard character specing and a minimum of single line specing is to be used.
   Text elements other than the body text, such as beaders, footlend notes, captions, formula's, may deviate, but must
- The page size is A4, and all margins (top, bottom, left, right) should be a least 15 mm (not including any footers) or
- he page size in 799, take an integrate (inty, bottom, ices, right) statute of an easy 1.5 min (not including any aboves of headers).

Please delete the information above before submitting your proposal.



PRIMA Pre-proposal Template (1...stage of a ovo-stage submission procedure)

Research and Innovation Actions (RIA) Innovation Actions (IA)

### Scientific Document (Part II)

Title of Propos	1		
Acronym			

 The consortium members are listed in Part I of the proposal (administrative forms). A summary list should also be provided in the table below.

#### List of participants

Participant No *	PI name	Organisation	Country
(Coordinator)			
2 Partner 1			
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4 Partner 3			

1. Excellence

### Your proposal must address a work programme topic for this call for proposals.

This section of your proposal will be assessed only to the extent that it is relevant to that topic.

1.1 Objectives

 Describe the overall and specific objectives for the project, which should be clear, measurable, realistic and achievable within the duration of the project. Objectives should be consistent with the aspected asylolization and impact of the project (be assessive).

<sup>1</sup> The term 'project' used in this template equates to an 'action' in certain other Horizon 2020 documentation



1.2 Relation to call and topic

1.3 Concept and methodology

(a) Concept

addresses the specific challenge and scope.

public/societal engagement on issues related to the project.





represents. Where relevant, refer to products and services already available on the market. Please refer to the results of any patent search carried out.

2. Impact

### 2.1 Expected impacts

Dease be specific, and provide only information that applies to the proposal and its objectives. Wherever possible, use quantified indicators and targets.

 Describe how your project will contribute to the expected impacts mentioned in the work programme, under the relevant topic.

(b) Methodology Describe and applain the everall methodology, distinguishing, as appropriate, activities indicated in the relevant section of the work programme, e.g. for research, demonstration, piloton, forth methodor regulations.

with the project, especially where the outputs from these will feed into the project;

· Indicate the call and topic to which your proposal relates, and explain how your proposal

Describe and explain the overall concept underpinning the project. Describe the main ideas,

models or assumptions involved. Identify any inter-disciplinary considerations and, where relevant, use of stakeholder knowledge. Where relevant, include measures taken for

 Describe the perilioning of the project e.g. where it is situated in the spectrum from 'idea to application', or from 'lab to market: Refer to Technology Readiness Levels where relevant. (Check FRIM Annual Work Plan to know more about Technology Readiness Levels);

· Describe any national or international research and innovation activities which will be linked

 Where relevant, describe how the gender dimension, i.e. sex and/or gender analysis is taken into account in the project's content.

#### 1.4 Ambition

 Describe the advance your proposal would provide beyond the state-of-the-art, and the extent to which the proposed work is ambitieus.

 Describe the innovation potential (e.g. ground-breaking objectives, novel concepts and approaches, new products, services or business and organisational models) which the proposal



# Sottomissione in due stage

- **The calls will follow a two-stage** evaluation procedure.
  - First Stage:
    - Part II Scientific pre-proposal (10 pages)
    - Part I for administrative data,
    - Deadline 15/03/2022, 17:00 Barcelona Time
  - Second Stage
    - Part II Scientific pre-proposal (50 pages)
    - Part I for administrative data,
    - Deadline 06/09/2022, 17:00 Barcelona Time

### Thematic Area 1-Water management:

Topic 1.1.1-2022 (IA) Sustainable and integrated management of natural and artificial water storages and distribution infrastructures

### 2 Thematic Area 2-Farming systems:

Topic 1.2.1-2022 (**RIA**) Developing integrated soil data for the Mediterranean Region: a gateway for sustainable soil management

### 3 Thematic Area 3-Food value chain:

Topic 1.3.1-2022 (IA) Alternative protein sources for the Mediterranean food value chain. From production, extraction, processing and marketing, to societal acceptance

### Nexus theme

APRE

Topic 1.4.1-2022 (IA) Predicting and testing options of socio-economic adaptation to declining Water-Energy-Food-Ecosystem (WEFE) resources in the Mediterranean Region

Topic 1.4.2-2022 (CSA) Development of a Mediterranean Water-Energy-Food-Ecosystem (WEFE) Community of Practice

## **INFORMAZIONI GENERALI**

- **¬** Rispettare i limiti di pagina e le regole di formattazione
- **¬** Rispettare le scadenze nella sottomissione
- **¬** Proposta in inglese
- **Attenzione ai massimali di richiesta contributo previsti dal topic/bando**
- **Tra primo e secondo stage NO modifiche ammesse in:** 
  - consortium composition (Organizations, Coordinator and PIs),
  - budget and
  - scientific objectives.
- ¬ Attenzione alla lista dei paesi eleggibili → ≠ H2020/HE
- For section 1, the PI can submit more than one proposal as coordinator but in case that more than one proposal is selected for funding, the coordinator will have to choose one project to be funded and withdraw the others (one funding per year). The PI can be partner in other projects.



## **CRITERI DI VALUTAZIONE**

## Stage I:

- Valore soglia per ogni criterio: 4.
- Valore soglia complessivo: 8 -8.5

	Score description for the evaluation criteria (half point scores may be given)				
0	Weak	The proposal fails to address the criterion under examination or cannot be judged due to missing or incomplete information.			
1	Poor	The criterion is addressed in an inadequate manner, or there are serious inherent weaknesses.			
2	Fair	While the proposal broadly addresses the criterion, there are significant weaknesses.			
3	Good	The proposal addresses the criterion well, although improvements would be necessary.			
4	Very Good	The proposal addresses the criterion very well, although certain improvements are still possible.			
5	Excellent	The proposal successfully addresses all relevant aspects of the criterion in question. Any shortcomings are minor.			

	EXCELLENCE*	IMPACT*	IMPLEMENTATION
Research & Innovation Activities (RIAs) and Innovation Actions (IAs)	EXCELLENCE* Fit with the scope and objectives of the PRIMA programme and the call topic description; Clarity and pertinence of the objectives; Soundness of the concept, and credibility of the proposed methodology; Extent that the proposed work is beyond the state of the art, and demonstrates innovation potential (e.g. ground-breaking objectives, novel concepts and approaches, new products, services or business and organisational models) Appropriate consideration of interdisciplinary	IMPACT* The extent to which the outputs of the project would contribute to one or several of the expected impacts mentioned in the work plan under the relevant topic. -Scores of the proposals will not depend on the number of expected impacts covered. -Any substantial impacts not mentioned in the work plan, that would enhance innovation capacity, create new market opportunities, strengthen competitiveness and growth of companies, address issues related to climate change or the environment, or bring other important benefits for society; -Quality of the proposed measures to: -Exploit and disseminate the project results (including	IMPLEMENTATION Quality and effectiveness of the work plan, including extent to which the resources assigned to work packages are in line with their objectives and deliverables; Appropriateness of the management structures and procedures, including risk and innovation management; Complementarity of the participants and extent to which the consortium as whole brings together the necessary expertise; Appropriateness of the allocation of tasks, ensuring that all participants have a valid role and adequate
	approaches and, where relevant, use of stakeholder knowledge and gender dimension in research and innovation content.	management of IPR), and to manage research data where relevant. -Communicate the project activities to different target	resources in the project to fulfil that role.

\* In stage 1 evaluations, only aspects in bold will be considered.



## PARTE B - Proposta scientifica

PRIMA

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1.2 Relation to call and topic

1.3 Concept and methodology

(a) Concept

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 Describe the innovation potential (e.g. ground-breaking objectives, novel concepts and approaches, new products, services or business and organisational models) which the proposal



# 7

# EXCELLENCE

- 1. Excellence
- **1.1 Objectives**
- **¬ 1.2 Relation to call and topic**
- **¬** 1.3 Concept and methodology
- (a) Concept
- (b) Methodology
- **1.4 Ambition**

## **IMPACT**

1. Impact

**2.1 Expected impacts** 



# 1. EXCELLENCE

## **1.1 Objectives**

• Describe the overall and specific objectives for the project, which should be clear, measurable, realistic and achievable within the duration of the project. Objectives should be consistent with the expected exploitation and impact of the project (see section 2).

## **1.2 Relation to call and topic**

• Indicate the call and topic to which your proposal relates, and explain how your proposal addresses the specific challenge and scope.



# **1.1 OBJECTIVES**

- There is usually <u>one</u> main, overarching goal ("overall objective") and several subordinate , more specific goals ("specific objectives"). You should list both.
- To a certain extend, the project objectives are usually already included in the topic text (see: *specific challenge, scope, expected impact*.), sometimes explicitly listed, sometimes more implicit.
- $\rightarrow$  The objectives are a result of the selected topic and the *concept and approach* the consortium has chosen for its project.



# **1.1 OBJECTIVES**

## Important questions helping identifying the right objectives

- What is the challenge / what are the problems in the specific field (indication etc.)?
- What shall be reached; which problem shall be adressed and solved?
- What is the consortiums' vision ?
- What needs to be delivered in order to reach the expected impact?
- Ask questions to cross-check the "central theme of the proposal":
  - Are the objectives of the project useful to reach the expected impact ?
  - Which approach have they chosen? What is their underlying concept (hypothesis, main assumptions) (needs to be adressed and cross-checked with 1.3)



# **OBJECTIVES ARE...**



General Objectives Long term: beyond the duration of the project Improve, strenght, facilitate, realize ...

## Specific Objectives To be realized during the project implementation Testing, pilot plant, develop new knowledge, ...



### 1.1.3 Correspondence with the objectives addressed by the call

The following table summarizes the comparison between the call objectives and the project's ones.

PLE

Objectives addressed by the call		Project objectives	
		New high efficiency spectrum tuned III-V quantum well solar cells	
	•	New highly reliable PV receiver with advanced thermal management system made of cost effective materials	FXAM
	•	New advanced high acceptance free form optical system	EXAIN
		<ul> <li>New low cost free form plastic primary mirror with advanced high reflectivity coating</li> </ul>	
		<ul> <li>New low cost free form quartz SOE with anti- reflective coating</li> </ul>	
[A] Demonstrating increased reliability and achieving manufacturing economies of scale	1	<ul> <li>Front glass with cost-effective highly reliable anti- reflective multifunctional coating</li> </ul>	
are main barriers for concentration-based photovoltaic (CPV) systems.	' <b>  •</b>	New module architecture	
		<ul> <li>Simple and reliable sealing method</li> </ul>	
		<ul> <li>Effective low cost humidity management system</li> </ul>	
	•	New highly reliable tracking system	
		<ul> <li>Simplified structure concepts for easy manufacturing and assembly and installation</li> </ul>	
		<ul> <li>Highly reliable moving parts and driving methods based on brushless motors</li> </ul>	
	•	New module's inverter to improve system's performance stability over time and increase system's energy yield	
[B] In order to enable large-volume production	1		1
of the CPV systems and reduce their costs, it is necessary to improve the level of integration of the manufacturing of different system		Design and development of all the system's components and development and demonstration of an integrated manufacturing line.	

## "Your proposal must address a work programme topic for this call for proposals."

There are different ways and structures how to answer this, often this section is about 1/3 to ½ page. Many proposals just make a table, list all relevant elements of the topic text and then show how they plan to deal with them in the project.

<u>Note</u>: the right question is: **How does the proposal address the issues raised?** And not: how exactly is the approach?



# 1. EXCELLENCE

### 1.3 Concept and methodology

### (a) Concept

• Describe and explain the overall concept underpinning the project. Describe the main ideas, models or assumptions involved. Identify any inter-disciplinary considerations and, where relevant, use of stakeholder knowledge. Where relevant, include measures taken for public/societal engagement on issues related to the project.

• Describe the positioning of the project e.g. where it is situated in the spectrum from 'idea to application', or from 'lab to market'. Refer to **Technology Readiness Levels where relevant.** (Check PRIMA Annual Work Plan to know more about Technology Readiness Levels);

• Describe any **national or international research and innovation activities** which will be linked with the project, especially where the outputs from these will feed into the project;

## (b) Methodology

- Describe and explain the **overall methodology**, distinguishing, as appropriate, activities indicated in the relevant section of the work programme, e.g. for research, demonstration, piloting, first market replication, etc;
- Where relevant, describe how the gender dimension, i.e. sex and/or gender analysis is taken into account in the project's content.



# **1.3 CONCEPT & APPROACH**

## What is advised

•The concept of the project should be **based on a certain model/ hypothesis/assumption** that should be clearly stated and elaborated.

- The reader is expecting facts, figures, numbers, e.g.,
  - incidence rates of the conditions to be treated, severeness with regards to overall mortality, life expectancy, quality of life, etc.
  - Current•(insufficient) treatment options and their drawbacks
  - Groundbreaking findings that have lead to the hypothesis that an alternative way might be suitable – best if partners of the applying consortium have contributed to these findings, (incl. references, preliminary results etc.)

→ The concept is still quite general and does not go too much into scientific detail with regards to the "how"

## **TECHNOLOGICAL READINESS LEVELS - TRL**

When relevant it can be very illustrative to make a table of key elements of the project and the TRLs before and after the work

- **TRL 1** basic principles observed
- TRL 2 technology concept formulated
- TRL 3 experimental proof of concept
- TRL 4 technology validated in lab
- TRL 5 technology validated in relevant environment
- **TRL 6** technology demonstrated in relevant environment
- **TRL 7** system prototype demonstration in operational environment
- TRL 8 system complete and qualified
- **TRL 9** actual system proven in operational environment





Proof of concept research (bench scale)

Academic Research

## **1.3 CONCEPT AND APPROACH**

- Show the evaluators how your project connects to the rest of the world.
- EC and evaluators want to make sure that with the public funding money, you are not going to reinvent the wheel, but that you cross- fertilize with recent an ongoing projects in the field.
- Best, if partners in the consortium have already close links to these other projects, e.g., because they participate there as well, and that exchange of know-how will be realized.
- If not, create a plan how this could be done (e.g. take other projects in your advisory group etc.).



# 7

# **1.3 CONCEPT AND APPROACH**

- approach and methodology this is the chance to <u>demonstrate the excellence of the</u> <u>consortium</u>...
- List all excellent/ ground breaking technologies you will be applying (explain also why); "we are world-leader in...", "unique technology..."
- ... and why you have composed it this way
- activities all WPs receive same funding rate, it can be helpful for the reviewer if you
  distinguish between the different types of activities you plan to do (research,
  demonstration, piloting, first market replication) so to better understand which
  progress will be made during the project, and what remains to be done afterwards



# 7

# **1.3 CONCEPT AND APPROACH**

- Here, it is NOT about gender balance in the consortium, but about SCIENCE.
- What would be scientific/ medical reasons for having a closer look at gender?.
- Is the condition you are going to work with known for gender differences, e.g., in symptoms, treatment options, mortality, success rates etc. ? Or do you have a hypothesis?
- How are you going to address this in your approach and methodology?

# 1. EXCELLENCE

## 1.4 Ambition

• Describe the advance your proposal would provide beyond the state-of-the-art, and the extent to which the proposed work is ambitious.

• Describe the innovation potential (e.g. ground-breaking objectives, novel concepts and approaches, new products, services or business and organisational models) which the proposal represents. Where relevant, refer to products and services already available on the market. Please refer to the results of any patent search carried out.



## **MESSAGES FOR APPLICANTS**

- Do not write a scientific paper for a high-ranked peer reviewed journal (but list them as references, if you have).
- Remember for whom you're writing with very broad topics, the evaluation panel will be mixed with different experts that may not know the particular condition, treatment or technology in detail.
- Take the readers by the hand and guide them through the proposal.
- Help evaluators go through your proposal quickly; follow the template and adress all points at the place they are expected to be.
- Create a logical link between objectives, workpackages and deliverables.



# 7

# **MESSAGES FOR APPLICANTS**

- Many applicants have difficulties to formulate their objectives.
- Ask yourself: does chapter 1 of the proposal create curiosity and
- stimulates to carry-on reading?.
- Does the layout encourage reading (with pleasure)?.
- Are abbreviations explained (when first occuring)?.
- Are figures self-explanatory (applicants tend to have too many figures in chapter 1, and also the wrong figures!).
- Take an Helicopter view on the proposed project: **do you get all**
- required information? What is missing? What is overdone?.



# 2. IMPACT

## **2.1 Expected impacts**

Please be specific, and provide only information that applies to the proposal and its objectives. Wherever possible, use quantified indicators and targets.

• Describe how your project will contribute to the expected impacts mentioned in the work programme, under the relevant topic.



## **MESSAGES FOR APPLICANTS**

- What is the benefit of your project? (the benefit for SMEs becomes more and more important!).
- Think about the expected impact in the topic text / work programme.
- Who are the **users of your results?.**
- How will your project/results strenghten the competitiveness?.
- What is the **social / societal benefit?.**
- How will the project support EU-policies?. (in particular for research, innovation, health, biotech, environment, society, etc.):
  - Did you consider those political aspects that are announced in the work programme?
  - How will the project help to contribute to the goals for the Europe 2020 strategy?
  - Why will Europe need the project? What is the added value?

## Please consider enough time and discussion for all the different aspects around this task





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## **GRAZIE!**

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